

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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THE NEW YORK CITY DISTRICT COUNCIL OF  
CARPENTERS PENSION FUND, NEW YORK CITY  
DISTRICT COUNCIL OF CARPENTERS WELFARE  
FUND, NEW YORK CITY DISTRICT COUNCIL OF  
CARPENTERS VACATION FUND, NEW YORK CITY  
DISTRICT COUNCIL OF CARPENTERS ANNUITY FUND,  
NEW YORK CITY DISTRICT COUNCIL OF CARPENTERS  
APPRENTICESHIP, JOURNEYMAN RETRAINING,  
EDUCATIONAL AND INDUSTRY FUND, NEW YORK  
CITY DISTRICT COUNCIL OF CARPENTERS CHARITY  
FUND, and THE NEW YORK CITY AND VICINITY  
CARPENTERS LABOR MANAGEMENT COOPERATION  
FUND, by MICHAEL J. FORDE, and PAUL O'BRIEN,  
as TRUSTEES,

07 CV 4040 (PKC)

**MEMORANDUM  
OF LAW**

Plaintiffs,

-against-

PETER R. SMITH INC.,

Defendant.

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**MEMORANDUM OF LAW**

This memorandum of law is submitted in support of the Complaint dated May 23, 2007 to confirm the arbitration award dated March 1, 2007. For the reasons set forth herein, the petition should be granted and a judgment entered confirming the award together with attorneys' fees and costs.

I. The arbitration award should be confirmed.

Confirmation of an arbitration award is generally "a summary proceeding that merely makes what is already a final arbitration award a judgment of the court." D.H. Blair & Co., Inc. v. Gottdiener, 462 F.3d 95, 110 (2d Cir. 2006) (quoting Florasynth, Inc. v. Pickholz, 750 F.2d 171,

176 (2d Cir. 1984)). Arbitration awards are granted great deference by the courts. Duferco Int'l Steel Trading v. T. Klaveness Shipping A/V, 333 F.3d 383, 388 (2d Cir. 2003). Judicial review of an arbitrator's award, entered pursuant to an agreement between an employer and a labor organization, is very limited. Major League Baseball Players Ass'n v. Garvey, 532 U.S. 504, 509 (2001). Provided there exists "even a barely colorable justification" for the arbitrator's determination, the award should be upheld. 187 Concourse Assocs. v. Fishman, 399 F.3d 524, 526 (2d Cir. 2005) (quoting United Paperworkers Int'l Union, AFL-CIO v. Misco, 484 U.S. 29, 38 (1987)).

In D.H. Blair & Co., the Second Circuit ruled that a motion for default judgment made pursuant to an unopposed petition to confirm an arbitration award should be treated as though it was an unopposed motion for summary judgment. 462 F.3d at 109. As such, the court must examine the moving party's submission to determine if it has met its burden of demonstrating that no material issue of fact remains for trial. Id. at 110.

In the case at bar no genuine issues of material fact remain. There was a valid agreement to arbitrate the instant dispute. Defendant is a signatory to a Collective Bargaining Agreement (hereinafter referred to as "Agreement") with the District Council of Carpenters of New York City and Vicinity (said Agreement is annexed as Exhibit "A" to the Declaration of Andrew GraBois dated September 28, 2007). The Agreement provides that "each signatory Employer shall make available to the Trustees of the various Fringe Benefit Funds, or their designated auditing representative, all pertinent books and records...required for an audit, to enable a said auditor to ascertain and to verify, independently, that the proper contributions hereunder have been paid and such records will be produced whenever deemed necessary by the Trustees..." (see Article XI, Section 6 of the Agreement). The Agreement requires arbitration for the

purposes of settling disputes between the parties as to any claims or violations of the Agreement. (see Article XI, Section 10 of the Agreement). The Arbitrator was empowered by the parties to make the aforementioned award. The subject arbitrator was specifically named in the Agreement as one of the individuals that the parties agreed could serve as an arbitrator. (see Article XI, Section 10 of the Agreement). Thus, the arbitrator was clearly acting with the scope of the authority granted to him pursuant to the express terms of the Agreement. The Defendant had been sent a Notice of Intention to Arbitrate by the Plaintiffs (a copy of which is annexed as Exhibit "B" to the Declaration of Andrew GraBois dated September 28, 2007) and a Notice of Hearing (a copy of which is annexed as Exhibit "C" to the Declaration of Andrew GraBois dated September 28, 2007) by the Arbitrator. As reflected in the award, no response from the Defendant was received by the Plaintiffs nor the Arbitrator. Moreover, the arbitrator's procedural and substantive interpretations of the Agreement are supported by the contract terms themselves as every employer is required to submit their books and records for audit and inspection. (see Article XI, Section 6 of the Agreement). Lastly, there is no evidence in the record suggesting corruption, fraud, or other impropriety on the part of the arbitrator, nor would confirmation of the award be contrary to public policy. Unopposed motions for summary judgment "fail where the undisputed facts fail to show that the moving party is entitled to judgment as a matter of law." D.H. Blair & Co., Inc. v. Gottdiener, 462 F.3d 95, 110 (2d Cir. 2006) (quoting Vt. Teddy Bear Co. v. 1-800 Beargram Co., 373 F.3d 241, 244 (2d Cir. 2004)). In this matter, the undisputed facts clearly show that Plaintiffs are entitled to judgment as a matter of law. Thus, confirmation of the arbitration award is appropriate and Defendant must be required to produce any and all books and records for the audit period January 30, 2003 through March 1, 2007 and Plaintiffs are entitled to \$2,400.00 in arbitration fees and costs as awarded by the arbitrator.

II. Plaintiffs are entitled to Attorney's fees incurred in confirming the award

Attorneys' fees and costs are recoverable based on equitable grounds in this action. A court may, in the exercise of its inherent equitable powers, award attorneys fees when an opposing party refuses to abide by an arbitrator's decision without justification (Int'l Chemical Workers Union Local No. 227 v. BASF Wyandotte Corp., 774 F.2d 43, 47 (2d Cir. 1985); New York City Dist. Council of Carpenters Pension Fund v. Eastern Millenium Const., Inc., 2003 WL 22773355, at \*2 (S.D.N.Y. 2003) ) or when the opposing party acts in bad faith when it fails to either pay the award or file a motion to vacate or modify. In Matter of Arbitration between Soft Drink and Brewery Workders Union Local 812, IBT, AFL-CIO, 1996 WL 420209 (S.D.N.Y. 1996). As such, Plaintiffs are entitled to \$1,837.50 in attorneys' fees and costs (\$1,777.50 in attorneys' fees and \$60.00 in costs) they have incurred while pursuing this confirmation action. (See Affidavit of Services of Andrew GraBois dated September 28, 2007 annexed hereto as Exhibit "F" to Plaintiffs' Notice of Motion).

Conclusion

Plaintiffs are entitled to judgment against Defendant, compelling Defendant to produce any and all books and records for the audit period of January 30, 2003 through March 1, 2007 and for the total sum of \$3,237.50 which encompasses the amount of \$2,400.00, representing the amount awarded by the arbitrator and attorneys' fees and costs arising out of this action to confirm the arbitrator's award in the amount of \$1,837.50. For all foregoing reasons the motion should be granted and the award confirmed.

Dated: New York, New York  
September 28, 2007

Respectfully submitted,

O'DWYER & BERNSTIEN, LLP



ANDREW GRABOIS (AG-3192)